

J M. Plaza

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Helping Bay Area investors organize their finances, make informed decisions and stay on track since 1988

Included Services by Client

	Planners	Investors	Accumulators
	1M	500K	150K
Comprehensive Financial Plan	Hourly fee	\$500 - \$2000	\$500
Modular Financial Plan	x	√	
Financial Action Plan			
General Financial planning/advising	Hourly fee	Hourly fee	Hourly fee
Comprehensive Annual Plan Review	√	√	
Annual Financial Overview	x	x	√
Retirement Income Distribution Modeling	√	√	\$500
Progress Meetings	Quarterly	Semi-Annual	Annual
Asset Monitoring	√	√	√
Insurance Review	√	√	√
Quarterly Newsletter			
Weekly Commentary	√	√	√
Online Account Access	√	√	√
Collaboration with Attorney or CPA	√	√	
Family Generation Transfer Meeting	√	√	
Albridge Reports	√	√	
Estate and Charitable Planning Services	√	√	
Tax Plan Review	√		
Business Planning	√		
Joint Calls with Money Managers	√		
Trust Review Service*	√		
Advanced Portfolio Analysis Service*	√		

(*Services provided by Ladenburg Thalmann Asset Management and Premier Trust)

Individual Services

	Fee	
Comprehensive Financial Plan	\$1,500 - \$5,000**	(**Fee varies based on the complexity of the plan)
Hourly Planning Fee	\$200	
Modular Financial Plan	\$500	
Financial Action Plan	\$250	
Insurance Review	\$250	
Collaboration with Attorney or CPA	\$100 / hr	
Family Generation Meeting	\$500	
Estate, Charitable Giving Plan	\$500	
Business Planning	\$2,500	

"Securities offered through Securities America, Inc., a registered broker dealer, member FINRA/SIPC. Advisory services offered through Securities America Advisors, Inc., an SEC registered investment advisor, John M Plaza, Representative. John M Plaza is not affiliated with the Securities America Companies."

Asset Management Fees

(Advisor fee only, additional account fees may apply)

Account Size

%

Above \$1.5M	0.75
\$1M - \$1.5M	1.00
\$500K - \$1M	1.50
\$250K - \$500K	2.00